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## BIB DATA SHEET

CONFIRMATION NO. 6575

<b>SERIAL NUMBER</b> 10/655,409	<b>FILING or 371(c) DATE</b> 09/04/2003 <b>RULE</b>	<b>CLASS</b> 705	<b>GROUP ART UNIT</b> 3691	<b>ATTORNEY DOCKET NO.</b> 98-HSX001-C5
<b>APPLICANTS</b> Timothy M. Keiser, Los Angeles, CA; Michael R. Burns, Los Angeles, CA; CGRAHAM 11/20/10				
<b>** CONTINUING DATA *****</b> This application is a CON of 09/465,607 12/17/1999 which is a CON of 09/184,571 11/02/1998 PAT 6,505,174 which is a CIP of 08/620,906 03/25/1996 PAT 5,950,176				
<b>** FOREIGN APPLICATIONS *****</b>				
<b>** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** ** SMALL ENTITY **</b> 11/28/2003				
Foreign Priority claimed <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No 35 USC 119(a-d) conditions met <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Verified and /CLEMENT B GRAHAM/ Acknowledged Examiner's Signature	<input type="checkbox"/> Met after Allowance cg Initials	<b>STATE OR COUNTRY</b> CA	<b>SHEETS DRAWINGS</b> 4	<b>TOTAL CLAIMS</b> 77 33
<b>INDEPENDENT CLAIMS</b> 6 3				
<b>ADDRESS</b> INNOVATION DIVISION CANTOR FITZGERALD, L.P. 110 EAST 59TH STREET (6TH FLOOR) NEW YORK, NY 10022 UNITED STATES				
<b>TITLE</b> COMPUTER-IMPLEMENTED SECURITIES TRADING SYSTEM				
<b>FILING FEE RECEIVED</b> 1014	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	